

# Nambu-Goto string as a four-derivative Liouville theory

Yuri Makeenko (ITEP)

Based on:

- Y. M. [arXiv:2407.01136](https://arxiv.org/abs/2407.01136)
- Y. M. Phys. Lett. B 845 (2023) 138170 [[arXiv:2308.05030](https://arxiv.org/abs/2308.05030)]
- Y. M. JHEP 09 (2023) 086 [[arXiv:2307.06295](https://arxiv.org/abs/2307.06295)]
- Y. M. JHEP 05 (2023) 085 [[arXiv:2302.01954](https://arxiv.org/abs/2302.01954)]
- Y. M. JHEP 01 (2023) 110 [[arXiv:2212.02241](https://arxiv.org/abs/2212.02241)]
- Y. M. IJMPA 38 (2023) 2350010 [[arXiv:2204.10205](https://arxiv.org/abs/2204.10205)]

# The beauty of 2D conformal theories

---

- Belavin-Polyakov-Zamolodchikov (1984):  
Virasoro algebra  $\implies$  Kac spectrum of the minimal models
- Knizhnik-Polyakov-Zamolodchikov (1988):  
 $SL(2; R)$  Kac-Moody spectrum for the Liouville action  
(thanks to diffeomorphism invariance)

The number of surfaces of large area  $A$  embedded in  $d$  dimensions

$$\left\langle \delta \left( \int \sqrt{g} - A \right) \right\rangle \propto A^{\gamma_{\text{str}} - 3} e^{CA}$$

with string susceptibility index of (closed) Polyakov's string

$$\gamma_{\text{str}} = (h - 1) \frac{25 - d + \sqrt{(25 - d)(1 - d)}}{12} + 2$$

genus  $h$

(gravitational dressing of the unit operator).

It is not real for  $1 < d < 25$  ( $d = 1$  barrier)

# The beauty of 2D conformal theories

- Belavin-Polyakov-Zamolodchikov (1984):  
Virasoro algebra  $\implies$  Kac spectrum of the minimal models
- Knizhnik-Polyakov-Zamolodchikov (1988):  
 $SL(2; R)$  Kac-Moody spectrum for the Liouville action  
(thanks to diffeomorphism invariance)

The number of surfaces of large area  $A$  embedded in  $d$  dimensions

$$\left\langle \delta \left( \int \sqrt{g} - A \right) \right\rangle \propto A^{\gamma_{\text{str}} - 3} e^{CA}$$

with string susceptibility index of (closed) Polyakov's string

$$\gamma_{\text{str}} = (h - 1) \frac{25 - d + \sqrt{(25 - d)(1 - d)}}{12} + 2 \quad \boxed{\text{genus } h}$$

(gravitational dressing of the unit operator).

It is not real for  $1 < d < 25$  ( $d = 1$  barrier)

Real in  $d = 4$  for the four-derivative Liouville theory and agreed to describe the Nambu-Goto string with  $d_- = 15 - 4\sqrt{6} > 4$

$$\gamma_{\text{str}} = (h - 1) \frac{12 + (d_+ + d_-)/2 - d + \sqrt{(d_+ - d)(d_- - d)}}{12} + 2$$

# Content of the talk

---

- Nambu-Goto and Polyakov strings
- Generalized conformal anomaly (“massive” CFTs)
  - path-integrating over  $X^\mu$  and ghosts
  - tracelessness of improved energy-momentum tensor
  - equivalence with four-derivative Liouville action
  - Salieri’s check at one loop
- Exact solution and minimal models
  - singular products and universality of higher-derivative actions
  - BPZ null vectors and Kac’s spectrum
  - Nambu-Goto string in  $d=4$  as (4,3) minimal model

# Nambu-Goto and Polyakov strings

Nambu-Goto string (imaginary Lagrange multiplier  $\lambda^{ab}$ ) independent metric tensor  $g_{ab}$  Alvarez (1981)

$$K_0 \int d^2\omega \sqrt{\det \partial_a X \cdot \partial_b X} = K_0 \int d^2\omega \sqrt{g} + \frac{K_0}{2} \int d^2\omega \lambda^{ab} (\partial_a X \cdot \partial_b X - g_{ab})$$

Ground state  $\lambda^{ab} = \bar{\lambda} \sqrt{g} g^{ab}$  classically  $\bar{\lambda} = 1 \implies$  Polyakov string

$$\mathcal{S} = \frac{K_0}{2} \int d^2\omega \sqrt{g} g^{ab} \partial_a X \cdot \partial_b X$$

Equivalence: classically Polyakov (1981), one loop Fradkin-Tseytlin (1982).

Closed bosonic string winding once around compactified dimension of circumference  $\beta$ , propagating (Euclidean) time  $L$  with topology of cylinder or torus (bagel). No tachyon if  $\beta$  is large enough.

Gaussian path integral over  $X_q^\mu$  by splitting  $X^\mu = X_{cl}^\mu + X_q^\mu$ :  $\implies$  Emergent (or effective) action

$$\begin{aligned} S[\varphi, \lambda^{ab}] = & K_0 \int d^2\omega \sqrt{g} + \frac{K_0}{2} \int d^2\omega \lambda^{ab} (\partial_a X_{cl} \cdot \partial_b X_{cl} - g_{ab}) \\ & + \frac{d}{2} \text{tr} \log \left( -\frac{1}{\sqrt{g}} \partial_a \lambda^{ab} \partial_b \right) + \text{ghosts} \end{aligned}$$

Mean-field ground state with  $\bar{\lambda} < \bar{\lambda}_{cl} = 1$  is stable in  $2 < d < 26$

## 2. “Massive” CFTs

# Generalized conformal anomaly

Path-integrating over  $X^\mu$  (and the usual ghosts)

$$S[g_{ab}, \lambda^{ab}] = K_0 \int \left( \sqrt{g} - \frac{1}{2} \lambda^{ab} g_{ab} \right) + S_X[g_{ab}, \lambda^{ab}],$$

$$S_X = \frac{d}{96\pi} \int \left[ -\frac{12\sqrt{g}}{a^2 \sqrt{\det \lambda^{ab}}} + \sqrt{g} R \frac{1}{\Delta} R - (\beta \lambda^{ab} g_{ab} R + 2\lambda^{ab} \nabla_a \partial_b \frac{1}{\Delta} R) \right]$$

higher orders in **Schwinger's** proper-time ultraviolet cutoff  $\tau$  dropped.

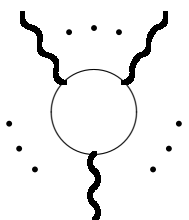
$\beta = 1$  for the Nambu-Goto string but kept **arbitrary** for generality.

The action is derived from the **DeWitt-Seeley** expansion of

$$\mathcal{O} = -(\sqrt{g})^{-1} \partial_a \lambda^{ab} \partial_b = -h^{ab} \partial_a \partial_b + A^a \partial_a$$

$$\langle |e^{-\tau \mathcal{O}}| \rangle = \frac{1}{4\pi\tau} + \frac{1}{4\pi} \left( \frac{1}{6} R(h) + E(A, h) \right) + O(\tau)$$

Alternatively **Coleman-Weinberg's effective action** integrating out  $X_q^\mu$

$$\frac{d}{2} \text{tr} \ln \left[ -\frac{1}{\sqrt{g}} \partial_a \lambda^{ab} \partial_b \right]_{\text{reg}} = \sum_n \frac{1}{n} \cdot \text{diagram} \cdot$$


wavy lines correspond to fluctuations  $\delta\lambda^{ab}$  or  $\delta g_{ab}$  about ground state

# Covariant Pauli-Villars regularization

Schwinger proper-time regularization of the trace

$$\text{tr log } \mathcal{O}|_{\text{reg}} = - \int_{a^2}^{\infty} \frac{d\tau}{\tau} \text{tr } e^{-\tau \mathcal{O}}, \quad \Lambda^2 = \frac{1}{4\pi a^2}$$

Pauli-Villars regularization of the trace

$$\det(\mathcal{O})|_{\text{reg}} \equiv \frac{\det(\mathcal{O}) \det(\mathcal{O} + 2M^2)}{\det(\mathcal{O} + M^2)^2}, \quad \Lambda^2 = \frac{M^2}{2\pi} \log 2$$

$$\text{tr log } \mathcal{O}|_{\text{reg}} = - \int_0^{\infty} \frac{d\tau}{\tau} \text{tr } e^{-\tau \mathcal{O}} \left(1 - e^{-\tau M^2}\right)^2, \quad \langle |e^{-\tau \mathcal{O}}| \rangle = \frac{1}{4\pi\tau} + \dots$$

Diaz, Troost, van Nieuwenhuizen, Van Proeyen (1989)

Covariant Pauli-Villars regulator  $Y$  (preserves conformal invariance)

$$\mathcal{S}^{(\text{reg})} = \int \left( \lambda^{ab} \partial_a \bar{Y} \cdot \partial_b Y + \boxed{M^2 \sqrt{g}} \bar{Y} \cdot Y + \frac{1}{2} \lambda^{ab} \partial_a Z \cdot \partial_b Z + \boxed{M^2 \sqrt{g}} Z^2 \right)$$

Two anticommuting Grassmann  $Y$  and  $\bar{Y}$  of mass squared  $M^2$  and one  $Z$  of mass squared  $2M^2$  with normal statistics:

Advantages over the proper-time regularization:

Feynman's diagrams apply for Pauli-Villars regularization

Gel'fand-Yaglom technique to compare with DeWitt-Seeley expansion



# Conformal gauge and flat background

---

Emergent action becomes local in conformal gauge

$$g_{ab} = \hat{g}_{ab} e^\varphi$$

where  $\hat{g}_{ab}$  is background (or fiducial) metric tensor.

Usual ghosts and their usual contribution to effective action

Euclidean CFT: conformal coordinates  $z$  and  $\bar{z}$  in flat background  
 $g_{zz} = g_{\bar{z}\bar{z}} = 0$ ,  $g_{z\bar{z}} = g_{\bar{z}z} = 1/2$  ( $\beta = 1$  for the Nambu-Goto string)

$$\mathcal{S}[\varphi, \lambda^{ab}] = K_0 \int e^\varphi (1 - \lambda^{z\bar{z}}) + \frac{1}{24\pi} \int \left[ -\frac{3d e^\varphi}{a^2 \sqrt{\det \lambda^{ab}}} + (d - 26) \varphi \partial \bar{\partial} \varphi \right. \\ \left. + d\kappa (2(1 + \beta) \lambda^{z\bar{z}} \partial \bar{\partial} \varphi + \lambda^{zz} \nabla \partial \varphi + \lambda^{\bar{z}\bar{z}} \bar{\nabla} \bar{\partial} \varphi) \right]$$

$\nabla = \partial - \partial\varphi$  is covariant derivative in conformal gauge so it describes a theory with interaction (no such interaction if only  $\lambda^{z\bar{z}} = \lambda^{ab} \hat{g}_{ab}$ )

Subtleties because of nonminimal interaction with background gravity

$$\sqrt{g}R = \sqrt{\hat{g}} (\hat{R} - \hat{\Delta}\varphi)$$

It vanishes only if the background curvature  $\hat{R}$  vanishes

# Improved energy-momentum tensor

Callan-Coleman-Jackiw (1970)

Symmetric **minimal energy-momentum tensor** (by applying  $\delta/\delta\hat{g}^{ab}$ )

$$T_{zz}^{(\text{min})} = \frac{(d-26)}{24}(\partial\varphi)^2 + \frac{d\kappa}{24}[2(1+\beta)\partial\lambda^{z\bar{z}}\partial\varphi + \bar{\partial}\lambda^{\bar{z}\bar{z}}\partial\varphi - \partial\lambda^{\bar{z}\bar{z}}\bar{\partial}\varphi - 2\lambda^{\bar{z}\bar{z}}\partial\bar{\partial}\varphi + 2\lambda^{\bar{z}\bar{z}}\partial\varphi\bar{\partial}\varphi]$$

$$T_{z\bar{z}}^{(\text{min})} = K_0 e^\varphi(1 - \lambda^{z\bar{z}}) - \frac{d e^\varphi}{2a^2\sqrt{\det\lambda^{**}}} + \frac{d\kappa}{24}[\bar{\partial}\lambda^{\bar{z}\bar{z}}\bar{\partial}\varphi + \lambda^{\bar{z}\bar{z}}\bar{\partial}^2\varphi + \partial\lambda^{z\bar{z}}\partial\varphi + \lambda^{z\bar{z}}\partial^2\varphi]$$

is **conserved** obeying  $\bar{\partial}T_{zz}^{(\text{min})} + \partial T_{z\bar{z}}^{(\text{min})} = 0$  but **not traceless**.

**Improved e-m tensor** is given by the sum  $T_{ab} = T_{ab}^{(\text{min})} + T_{ab}^{(\text{add})}$

$$T_{zz}^{(\text{add})} = -\frac{(d-26)}{12}\partial^2\varphi - \frac{d\kappa}{24}\left[2(1+\beta)\partial^2\lambda^{z\bar{z}} + \partial\bar{\partial}\lambda^{\bar{z}\bar{z}} + \partial(\lambda^{\bar{z}\bar{z}}\bar{\partial}\varphi)\right] - \frac{d\kappa}{24}\left[\frac{1}{\bar{\partial}}(\partial^3\lambda^{z\bar{z}} + \partial^2(\lambda^{z\bar{z}}\partial\varphi))\right] \quad \boxed{\text{nonlocal term!}}$$

as a price for  $\bar{\partial}T_{zz} = 0$  and  $T_{z\bar{z}} = 0$ .

Also from Nambu-Goto EMT:  $T_{zz} = \langle \lambda^{z\bar{z}}\partial X \cdot \partial X + \lambda^{\bar{z}\bar{z}}\partial X \cdot \bar{\partial} X \rangle_X$

**Non-local term** gives classically an addition to **Virasoro algebra**

$$\delta_\xi T_{zz} = \xi''' \frac{1}{2b^2} + 2\xi' T_{zz} + \xi \partial T_{zz} - \xi'' \frac{1}{\bar{\partial}} \partial \nabla \lambda^{z\bar{z}}$$

## Improved energy-momentum tensor (cont.)

Conservation and tracelessness of classical IEMT follows from

$$\begin{aligned} \frac{1}{\pi} \bar{\partial} T_{zz} &= \partial \varphi \frac{\delta \mathcal{S}}{\delta \varphi} - \partial \frac{\delta \mathcal{S}}{\delta \varphi} - \lambda^{\bar{z}\bar{z}} \partial \frac{\delta \mathcal{S}}{\delta \lambda^{\bar{z}\bar{z}}} + \partial \lambda^{\bar{z}\bar{z}} \frac{\delta \mathcal{S}}{\delta \lambda^{\bar{z}\bar{z}}} \\ &\quad + \partial (\lambda^{zz} \frac{\delta \mathcal{S}}{\delta \lambda^{zz}}) + \partial \lambda^{zz} \frac{\delta \mathcal{S}}{\delta \lambda^{zz}} \end{aligned}$$

General property of improved energy-momentum tensor:

$$T_a^a \equiv \hat{g}^{ab} \frac{\delta \mathcal{S}}{\delta \hat{g}^{ab}} = -\frac{\delta \mathcal{S}}{\delta \varphi}$$

i.e. trace of IEMT = the classical equation of motion for  $\varphi$ .

In quantum theory variations of  $\mathcal{S}$  replaced by **variational derivatives**.

IEMT does **generate conformal transformation**  $\delta z = \xi(z)^*$

$$\begin{aligned} \hat{\delta}_\xi &= \frac{1}{\pi} \int \xi \bar{\partial} T_{zz} = \int \left[ (\xi' + \xi \partial \varphi) \frac{\delta}{\delta \varphi} + (\xi' \lambda^{\bar{z}\bar{z}} + \xi \partial \lambda^{\bar{z}\bar{z}}) \frac{\delta}{\delta \lambda^{\bar{z}\bar{z}}} \right. \\ &\quad \left. + \xi \partial \lambda^{z\bar{z}} \frac{\delta}{\delta \lambda^{z\bar{z}}} + (-\xi' \lambda^{zz} + \xi \partial \lambda^{zz}) \frac{\delta}{\delta \lambda^{zz}} \right] \end{aligned}$$

Classically it produces the right transformation laws of  $\varphi$  and  $\lambda^{ab}$  with components  $\lambda^{\bar{z}\bar{z}}$ ,  $\lambda^{z\bar{z}}$ ,  $\lambda^{zz}$  of **conformal weights** 1, 0, -1, respectively

\*Note  $\delta_\xi \lambda^{ab} = -(\partial_c \xi^a) \lambda^{bc} - (\partial_c \xi^b) \lambda^{ac} + (\partial_c \xi^c) \lambda^{ab} + \xi^c \partial_c \lambda^{ab}$  under diffeomorphisms

## Improved energy-momentum tensor (cont.)

Conservation and tracelessness of classical IEMT follows from

$$\begin{aligned} \frac{1}{\pi} \bar{\partial} T_{zz} &= \partial \varphi \frac{\delta \mathcal{S}}{\delta \varphi} - \partial \frac{\delta \mathcal{S}}{\delta \varphi} - \lambda^{\bar{z}\bar{z}} \partial \frac{\delta \mathcal{S}}{\delta \lambda^{\bar{z}\bar{z}}} + \partial \lambda^{\bar{z}\bar{z}} \frac{\delta \mathcal{S}}{\delta \lambda^{\bar{z}\bar{z}}} \\ &\quad + \partial (\lambda^{zz} \frac{\delta \mathcal{S}}{\delta \lambda^{zz}}) + \partial \lambda^{zz} \frac{\delta \mathcal{S}}{\delta \lambda^{zz}} \end{aligned}$$

General property of improved energy-momentum tensor:

$$T_a^a \equiv \hat{g}^{ab} \frac{\delta \mathcal{S}}{\delta \hat{g}^{ab}} = - \frac{\delta \mathcal{S}}{\delta \varphi} \stackrel{\text{w.s.}}{=} \frac{\delta}{\delta \varphi}$$

*i.e.* trace of IEMT = the classical equation of motion for  $\varphi$ .

In quantum theory variations of  $\mathcal{S}$  replaced by **variational derivatives**.

IEMT does **generate conformal transformation**  $\delta z = \xi(z)^*$

$$\begin{aligned} \hat{\delta}_\xi &= \frac{1}{\pi} \int \xi \bar{\partial} T_{zz} = \int \left[ (\xi' + \xi \partial \varphi) \frac{\delta}{\delta \varphi} + (\xi' \lambda^{\bar{z}\bar{z}} + \xi \partial \lambda^{\bar{z}\bar{z}}) \frac{\delta}{\delta \lambda^{\bar{z}\bar{z}}} \right. \\ &\quad \left. + \xi \partial \lambda^{z\bar{z}} \frac{\delta}{\delta \lambda^{z\bar{z}}} + (-\xi' \lambda^{zz} + \xi \partial \lambda^{zz}) \frac{\delta}{\delta \lambda^{zz}} \right] \end{aligned}$$

Classically it produces the right transformation laws of  $\varphi$  and  $\lambda^{ab}$  with components  $\lambda^{\bar{z}\bar{z}}$ ,  $\lambda^{z\bar{z}}$ ,  $\lambda^{zz}$  of **conformal weights** 1, 0, -1, respectively

\*Note  $\delta_\xi \lambda^{ab} = -(\partial_c \xi^a) \lambda^{bc} - (\partial_c \xi^b) \lambda^{ac} + (\partial_c \xi^c) \lambda^{ab} + \xi^c \partial_c \lambda^{ab}$  under diffeomorphisms

# Equivalence with four-derivative Liouville action

Path integral over  $\delta\lambda^{ab}$  has a saddle point justified by small  $a^2$  at

$$\delta\lambda^{ab} = \sqrt{g}a^2 \left( g^{ac}g^{bd}\nabla_c\partial_d\varphi + \frac{(\beta-1)}{4}g^{ab}\Delta\varphi \right) \frac{\kappa}{3} + \mathcal{O}(a^4)$$

Thus we arrive at four-derivative Liouville action (conformal gauge)

$$\mathcal{S}[\varphi] = \frac{1}{16\pi b_0^2} \int \sqrt{\hat{g}} [\hat{g}^{ab}\partial_a\varphi\partial_b\varphi + \varepsilon e^{-\varphi}\hat{\Delta}\varphi (\hat{\Delta}\varphi - G\hat{g}^{ab}\partial_a\varphi\partial_b\varphi)]$$

with  $G = -1/3$  for the Nambu-Goto string

$$b_0^2 = \frac{6}{26-d}, \quad G = -\frac{1}{1+(1+\beta)^2/2}, \quad \varepsilon = -\frac{2d\kappa^2\bar{\lambda}^3}{3G(26-d)}a^2$$

which was exactly solved previously Y.M. (2023)

Classically higher-derivative terms vanish for smooth  $\varepsilon R \ll 1$ .

Quantumly quartic derivative provides UV cutoff but also interaction with coupling  $\varepsilon \Rightarrow$  uncertainties  $\varepsilon \times \varepsilon^{-1}$  which revive  $\Rightarrow$  anomalies. Yet higher terms which are primary scalars like  $R^n$  do not change – universality.  $g^{ab}\partial_a\varphi\partial_b\varphi$  is not primary

Smallness of  $\varepsilon$  is compensated by change of the metric (shift of  $\varphi$ )

## Equivalence with four-derivative Liouville action

Path integral over  $\delta\lambda^{ab}$  has a saddle point justified by small  $a^2$  at

$$\delta\lambda^{ab} = -\sqrt{g}a^2 \left( g^{ac}g^{bd}\nabla_c\partial_d\frac{1}{\Delta}R + \frac{(\beta-1)}{4}g^{ab}R \right) \frac{\kappa}{3} + \mathcal{O}(a^4)$$

Thus we arrive at four-derivative Liouville action (covariant)

$$\mathcal{S}[g] = \frac{1}{16\pi b_0^2} \int \sqrt{g} \left[ -R\frac{1}{\Delta}R + \varepsilon R \left( R + Gg^{ab}\partial_a\frac{1}{\Delta}R\partial_b\frac{1}{\Delta}R \right) \right]$$

with  $G = -1/3$  for the Nambu-Goto string

$$b_0^2 = \frac{6}{26-d}, \quad G = -\frac{1}{1+(1+\beta)^2/2}, \quad \varepsilon = -\frac{2d\kappa^2\bar{\lambda}^3}{3G(26-d)}a^2$$

which was exactly solved previously Y.M. (2023)

Classically higher-derivative terms vanish for smooth  $\varepsilon R \ll 1$ .

Quantumly quartic derivative provides UV cutoff but also interaction with coupling  $\varepsilon \Rightarrow$  uncertainties  $\varepsilon \times \varepsilon^{-1}$  which revive  $\Rightarrow$  anomalies.

Yet higher terms which are primary scalars like  $R^n$  do not change – universality.  $g^{ab}\partial_a\varphi\partial_b\varphi$  is not primary

Smallness of  $\varepsilon$  is compensated by change of the metric (shift of  $\varphi$ )

### 3. CFT á la KPZ-DDK

# Review of KPZ-DDK

Knizhnik-Polyakov-Zamolodchikov (1988), David (1988), Distler-Kawai (1989)

Liouville action in fiducial (or background) metric  $\hat{g}_{ab}$

$$S_L = \frac{1}{8\pi b^2} \int \sqrt{\hat{g}} \left( \frac{1}{2} \hat{g}^{ab} \partial_a \varphi \partial_b \varphi + q \hat{R} \varphi \right) + \mu^2 \int \sqrt{\hat{g}} e^\varphi$$

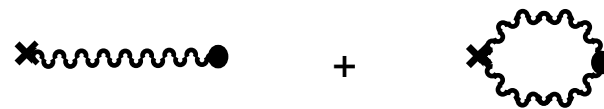
renormalized parameters  $b^2$  and  $q$  called “intelligent” one (ione) loop

$$b^2 = b_0^2 + \mathcal{O}(b_0^4), \quad q = 1 + \mathcal{O}(b_0^2), \quad b_0^2 = \frac{6}{26-d}$$

Energy-momentum pseudotensor

$$T_{zz}^{(\varphi)} = -\frac{1}{4b^2} \left( \partial_z \varphi \partial_z \varphi - 2q \partial_z^2 \varphi \right) \quad \sqrt{g} R = \sqrt{\hat{g}} (q \hat{R} - \hat{\Delta} \varphi)$$

Background independence:



total central charge

$$c = d - 26 + 6 \frac{q^2}{b^2} + 1 = 0$$

conformal weight

$$\Delta(e^{\alpha\varphi}) = \alpha q - \alpha^2 b^2 = 1$$

$$\Rightarrow b = \sqrt{\frac{25-d}{24}} - \sqrt{\frac{1-d}{24}}, \quad q = 1 + b^2 \quad \text{for } \alpha = 1$$



# EMT for the four-derivative Liouville action

For minimal coupling to gravity

$$\begin{aligned}
 -4b_0^2 T_{ab}^{(\min)} &= \partial_a \varphi \partial_b \varphi - \frac{1}{2} g_{ab} \partial^c \varphi \partial_c \varphi - \mu_0^2 g_{ab} - \varepsilon \partial_a \varphi \partial_b \Delta \varphi - \varepsilon \partial_a \Delta \varphi \partial_b \varphi \\
 &+ \varepsilon g_{ab} \partial^c \varphi \partial_c \Delta \varphi + \frac{\varepsilon}{2} g_{ab} (\Delta \varphi)^2 - G \varepsilon \partial_a \varphi \partial_b \varphi \Delta \varphi + G \frac{\varepsilon}{2} \partial_a \varphi \partial_b (\partial^c \varphi \partial_c \varphi) \\
 &+ G \frac{\varepsilon}{2} \partial_a (\partial^c \varphi \partial_c \varphi) \partial_b \varphi - G \frac{\varepsilon}{2} g_{ab} \partial^c \varphi \partial_c (\partial^d \varphi \partial_d \varphi)
 \end{aligned}$$

“Improved” e-m tensor

$$\begin{aligned}
 -4b_0^2 T_{ab} &= -4b_0^2 T_{ab}^{(\min)} - 2(\partial_a \partial_b - g_{ab} \partial^c \partial_c)(\varphi - \varepsilon \Delta \varphi + G \frac{\varepsilon}{2} g^{ab} \partial_a \varphi \partial_b \varphi) \\
 &+ 2G \varepsilon (\partial_a \partial_b - g_{ab} \partial^c \partial_c) \frac{1}{\Delta} \partial^d (\partial_d \varphi \Delta \varphi)
 \end{aligned}$$

is conserved and traceless (!) thanks to diffeomorphism invariance

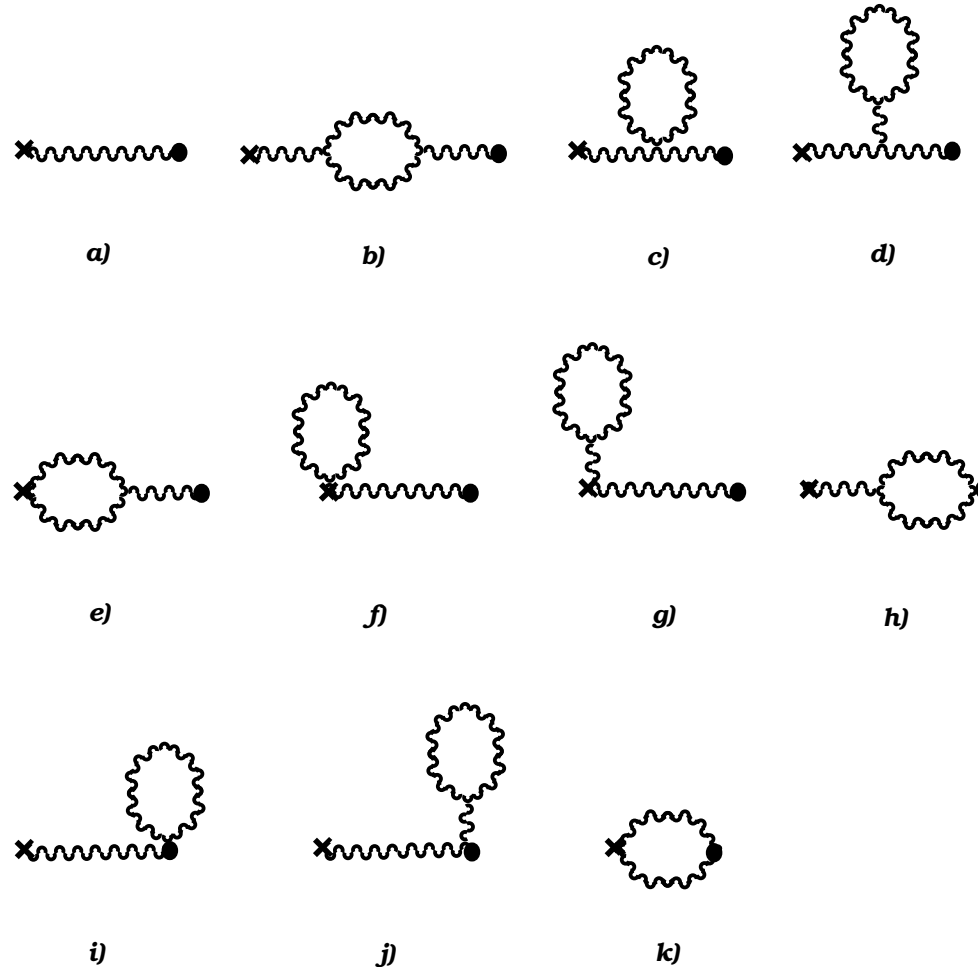
$T_{zz}$  component (in two dimensions)

Kawai, Nakayama (1993) at  $G=0$

$$\begin{aligned}
 -4b_0^2 T_{zz} &= (\partial \varphi)^2 - 2\varepsilon \partial \varphi \partial \Delta \varphi - 2\partial^2 (\varphi - \varepsilon \Delta \varphi) - G \varepsilon (\partial \varphi)^2 \Delta \varphi \\
 &+ 4G \varepsilon \partial \varphi \partial (e^{-\varphi} \partial \varphi \bar{\partial} \varphi) - 4G \varepsilon \partial^2 (e^{-\varphi} \partial \varphi \bar{\partial} \varphi) + G \varepsilon \partial (\partial \varphi \Delta \varphi) \\
 &+ G \varepsilon \frac{1}{\bar{\partial}} \partial^2 (\bar{\partial} \varphi \Delta \varphi)
 \end{aligned}$$

# KPZ-DDK for the four-derivative Liouville action

One-loop operator products  $T_{zz}(z) e^{\varphi(0)}$  and  $T_{zz}(z)T_{zz}(0)$



Conformal weight of  $e^{\varphi(0)}$ :  $1 = q - b^2$ .

In central charge of  $\varphi$  nonlocal term revives:  $c(\varphi) = \frac{6q^2}{b^2} + 1 + 6Gq$

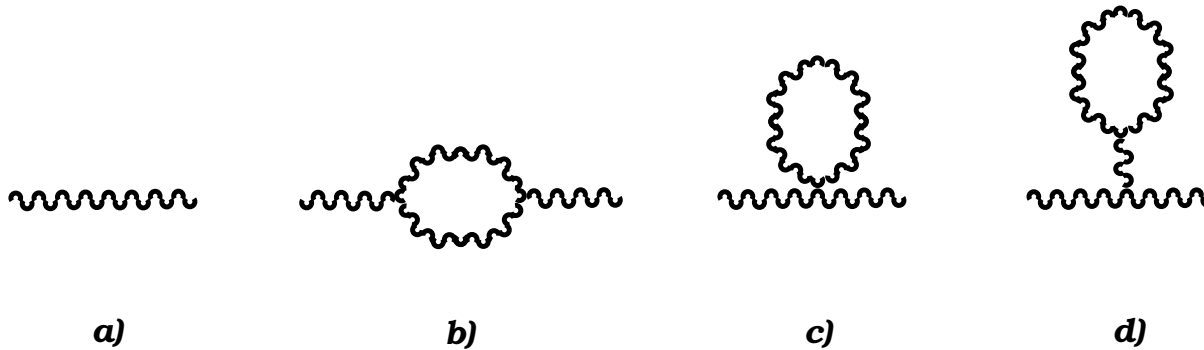
## 4. Algebraic check of DDK

Salieri:

“I checked the harmony with algebra.  
Then finally proficient in the science,  
I risked the rare delights of creativity.”

A. Pushkin, *Mozart and Salieri*

# One-loop propagator



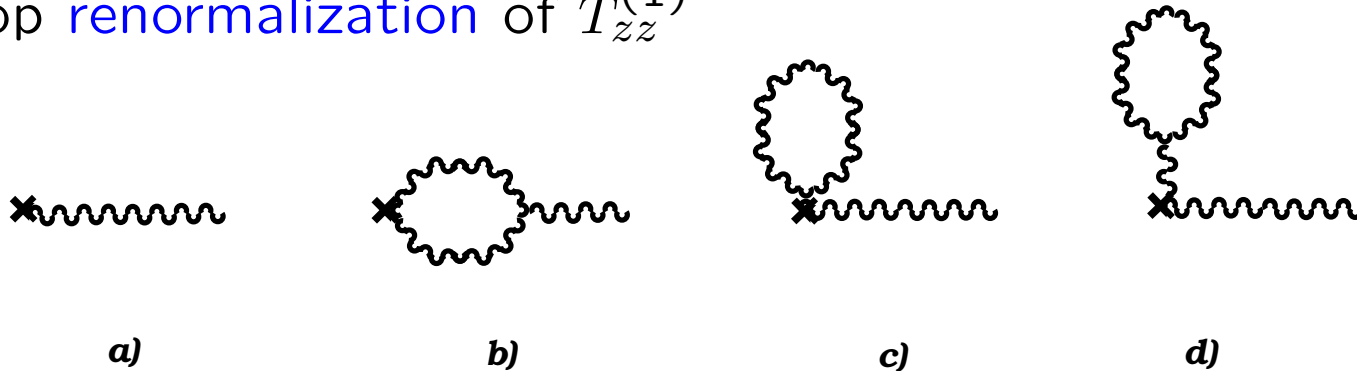
$$\begin{aligned}
 b) &= -\frac{1}{4} \int \frac{d^2k}{(2\pi)^2} \left\{ \frac{\varepsilon^2 k^2 (k-p)^2}{(1 + \varepsilon k^2)[1 + \varepsilon(k-p)^2]} \right. \\
 &\quad - 2 \frac{(\varepsilon k^2 (k-p)^2 - M^2)^2}{(k^2 + M^2 + \varepsilon k^4)[(k-p)^2 + M^2 + \varepsilon(k-p)^4]} \\
 &\quad \left. + \frac{(\varepsilon k^2 (k-p)^2 - 2M^2)^2}{(k^2 + 2M^2 + \varepsilon k^4)[(k-p)^2 + 2M^2 + \varepsilon(k-p)^4]} \right\} |\varphi(p)|^2 \\
 &\rightarrow b)|_{\text{reg div}} - \frac{p^2}{96\pi} |\varphi(p)|^2
 \end{aligned}$$

One-loop **renormalization** of  $b^2$  where  $A(\varepsilon M^2) \sim \varepsilon M^2 = \text{tadpole } d)$

$$\frac{1}{b^2} = \frac{1}{b_0^2} - \left( \frac{1}{6} - 4 + A + 2G \int d^2k \frac{\varepsilon}{(1 + \varepsilon k^2)} - \frac{1}{2} GA \right) + \mathcal{O}(b_0^2)$$

# One-loop renormalization of $T_{zz}$

One-loop renormalization of  $T_{zz}^{(1)}$



$$\frac{q}{b^2} = \frac{1}{b_0^2} - \frac{1}{6} + 2 - \frac{1}{2}A - \frac{1}{2}G - G \int dk^2 \frac{\epsilon}{(1 + \epsilon k^2)} + \frac{1}{4}GA$$

or multiplying by  $b^2$

$$\frac{q^2}{b^2} = \left(\frac{q}{b^2}\right)^2 \times b^2 = \frac{1}{b_0^2} - \frac{1}{6} - G + \mathcal{O}(b_0^2)$$

This precisely **confirms** the above shift of the central charge by  $6G$  obtained by **conformal field theory** technique of **DDK**.

Tremendous cancellation due to diffeomorphism invariance proving “intelligent” **one (ione) loop** to be exact: (like **Duistermaat-Heckman?**)

$$-\frac{6}{b_0^2} + \frac{6q^2}{b^2} + 1 + 6Gq = 0, \quad 1 = q - b^2$$

5. Method of singular products  
as pragmatic mixture  
of CFT and QFT

# Conformal transformation revisited

---

Generator of conformal transformation for nonquadratic e-m tensor

$$\hat{\delta}_\xi \equiv \int_{D_1} \left( \xi' \frac{\delta}{\delta\varphi} + \xi \partial\varphi \frac{\delta}{\delta\varphi} \right) \stackrel{\text{w.s.}}{=} \int_{C_1} \frac{dz}{2\pi i} \xi(z) T_{zz}(z)$$

where  $D_1$  includes singularities of  $\xi(z)$  and  $C_1$  bounds  $D_1$ .

Equivalence of two forms is proved by integrating the total derivative

$$\bar{\partial} T_{zz} = -\pi \partial \frac{\delta S}{\delta\varphi} + \pi \partial\varphi \frac{\delta S}{\delta\varphi}$$

and using the (quantum) equation of motion

$$\frac{\delta S}{\delta\varphi} \stackrel{\text{w.s.}}{=} \frac{\delta}{\delta\varphi}$$

Actually, the form of  $\hat{\delta}_\xi$  in the middle is primary.

It takes into account a tremendous cancellation of the diagrams, while there are subtleties associated with singular products

## List of singular products

---

The simplest singular product

$$\frac{1}{b^2} \int d^2z \xi(z) \langle \partial^n \varphi(z) \varphi(0) \rangle \delta^{(2)}(z) = (-1)^n \frac{2}{n(n+1)} \partial^n \xi(0)$$

arises already in a **free** CFT by the formulas

$$\delta^{(2)}(z) = \bar{\partial} \frac{1}{\pi z}, \quad \frac{1}{z^n} \bar{\partial} \frac{1}{z} = (-1)^n \frac{1}{(n+1)!} \partial^n \bar{\partial} \frac{1}{z}$$

It can be alternatively derived introducing the **regularization** by  $\varepsilon$

$$G_\varepsilon(k) = \frac{1}{k^2(1 + \varepsilon k^2)}, \quad \delta_\varepsilon^{(2)}(k) = \frac{1}{(1 + \varepsilon k^2)}$$

We then have

$$8\pi \int d^2z \xi(z) \partial^n G_\varepsilon(z) \delta_\varepsilon^{(2)}(z) = (-1)^n \frac{2}{n(n+1)} \partial^n \xi(0)$$

$$8\pi \int d^2z \xi(z) [-4\varepsilon \partial^{n+1} \bar{\partial} G_\varepsilon(z)] \delta_\varepsilon^{(2)}(z) = (-1)^n \frac{2}{(n+1)} \partial^n \xi(0)$$



# Computation of the central charge

Y.M. (2023)

Central charge  $c^{(\varphi)}$  of  $\varphi$  can be computed for **normal-ordered**  $T_{zz}$  as

$$\langle \hat{\delta}_\xi T_{zz}(\omega) \rangle = \frac{c^{(\varphi)}}{12} \xi'''(\omega)$$

For **quadratic** part of  $T_{zz}$

$$\begin{aligned} \langle \hat{\delta}_\xi T_{zz}^{(2)}(\omega) \rangle &= \frac{1}{2b^2} \int d^2z \langle q^2 \xi'''(z) + \xi'(z) \partial^2 \varphi(z) \varphi(\omega) + \xi(z) \partial^3 \varphi(z) \varphi(\omega) \rangle \\ &\times \delta^{(2)}(z - \omega) = \frac{\xi'''(\omega)}{2} \left( \frac{q^2}{b^2} + \frac{1}{3} - \frac{1}{6} \right) = \xi'''(\omega) \left( \frac{q^2}{2b^2} + \frac{1}{12} \right) \end{aligned}$$

Here  $1/12$  gives the usual **quantum addition 1 to the central charge**.

**DDK** formula for the central charge is reproduced for quadratic action.

Propagator is **exact**  $\implies$  this is why  $b^2$  cancels

## Computation of the central charge (cont.)

Computation for **quartic** part is lengthy but doable with Mathematica

$$\begin{aligned} \left\langle \widehat{\delta}_\xi T_{zz}^{(4)}(\omega) \right\rangle_{G=0} &= \frac{1}{b^2} \int d^2z \left\langle [2q\alpha \varepsilon \xi'''(z) \partial \bar{\partial} \varphi(z) + (4q\alpha - 2) \varepsilon \xi''(z) \partial^2 \bar{\partial} \varphi(z) \right. \\ &\quad \left. - 6\varepsilon \xi'(z) \partial^3 \bar{\partial} \varphi(z) - 4\varepsilon \xi(z) \partial^4 \bar{\partial} \varphi(z)] \varphi(\omega) \right\rangle \delta_\varepsilon^{(2)}(z - \omega) \\ &= \frac{\xi'''(\omega)}{4} \left( -2 \cdot 2q\alpha + (4q\alpha - 2) \cdot 1 + 6\frac{2}{3} - 4\frac{1}{2} \right) = 0 \end{aligned}$$

Central charge of  $\varphi$  equals 1 at  $G = 0$  as for **quadratic action**.

Computations is similar to one loop but higher loops are taken into account by  $b^2$ ,  $q$  and  $\alpha \implies$  why I call it **"intelligent" one (ione) loop**

Contribution from the  **$G$ -term** comes solely from the nonlocal part

$$\begin{aligned} \left\langle \widehat{\delta}_\xi T_{zz}^{(4)}(\omega) \right\rangle_G &= -\frac{2}{b^2} Gq\varepsilon \int d^2z \left\langle [\xi'''(z) \partial \bar{\partial} \varphi(z) + \xi''(z) \partial^2 \bar{\partial} \varphi(z)] \varphi(\omega) \right\rangle \\ &\quad \times \delta_\varepsilon^{(2)}(z - \omega) = \frac{1}{2} Gq \xi'''(\omega) \end{aligned}$$

The vanishing of **total central charge** results in the modified second **DDK** equation

$$\frac{6q^2}{b^2} + 1 + 6Gq = \frac{6}{b_0^2}$$

## Universality of six and higher orders

---

To show the **universality** at order  $a^{2m}$  we use

$$G_\varepsilon(k) = \frac{1}{k^2(1 + \varepsilon k^2)^m}, \quad \delta_\varepsilon^{(2)} = \frac{1}{(1 + \varepsilon k^2)^m}$$

$m = 1$  for the four-derivative action. I have derived

$$8\pi \int d^2z \xi(z) [\partial^n (-4\partial\bar{\partial})^k G_\varepsilon(z)] \delta_\varepsilon^{(2)}(z) = (-1)^n H_{n,m}^{(k)} \partial^n \xi(0)$$

$$H_{n,m}^{(k)} = 2 \frac{\Gamma(n+k)\Gamma(2m-k)\Gamma(m+n)}{\Gamma(n+1)\Gamma(m)\Gamma(2m+n)}$$

It can be used for proving the **universality** of higher terms emerging for the Polyakov string

$$\left\langle \widehat{\delta}_\xi T_{zz}^{(\varphi,2)}(\omega) \right\rangle = \frac{\xi'''(\omega)}{2} \left( \frac{q^2}{b^2} + H_{2,m}^{(0)} - H_{3,m}^{(0)} \right) = \xi'''(\omega) \left( \frac{q^2}{2b^2} + \frac{1}{12} \right)$$

$$\left\langle \widehat{\delta}_\xi T_{zz}^{(\varphi,4)}(\omega) \right\rangle = \frac{\xi'''(\omega)}{4} \left( -2H_{0,m}^{(1)} + 2H_{1,m}^{(1)} + 6H_{2,m}^{(1)} - 4H_{3,m}^{(1)} \right) = 0$$

$$\left\langle \widehat{\delta}_\xi T_{zz}^{(\varphi,6)}(\omega) \right\rangle = \xi'''(\omega) \left( -\frac{1}{2}H_{0,m}^{(2)} - H_{1,m}^{(2)} + 3H_{2,m}^{(2)} - \frac{3}{2}H_{3,m}^{(2)} \right) = 0$$

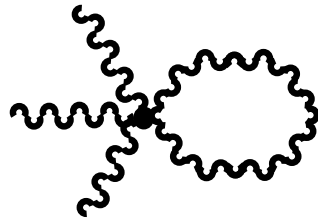
# Heuristic understanding of universality

For **general action**  $(F(x) = (1 + x)/8\pi b_0^2 \text{ for four-derivative})$

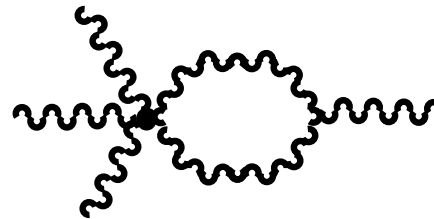
$$S^{\text{gen}}[\varphi] = -\frac{1}{2} \int \sqrt{\hat{g}} \varphi \hat{\Delta} F(-\varepsilon e^{-\varphi} \hat{\Delta}) \varphi, \quad F(0) = \frac{1}{8\pi b_0^2}$$

**propagator:**  $k^2 F(\varepsilon k^2)$  and **triple vertex:**  $\varepsilon k^4 F'(\varepsilon k^2)$ .

One-loop renormalization  $e^\varphi \Rightarrow e^{\alpha\varphi}$  (wavy lines represent  $\varphi$ )



a)



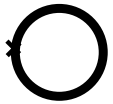
b)

$$b) = \frac{e^\varphi}{2} \times \varphi \int \frac{d^2k}{(2\pi)^2} \frac{\varepsilon k^4 F'(\varepsilon k^2)}{[k^2 F(\varepsilon k^2)]^2} = \frac{1}{8\pi F(0)} e^\varphi \varphi = e^\varphi b_0^2 \varphi$$

**independently** on the choice of  $F$  like anomalies in QFT

# Universality of nonlocal terms?

Nonlocal term comes from averaging EMT of the Nambu-Goto string over  $X^\mu$  due to interaction  $\lambda^{zz}\partial X \cdot \partial X$ . Emerging  $T_{zz}$ :  $X^\mu =$  solid line



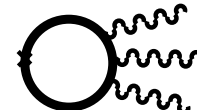
a)



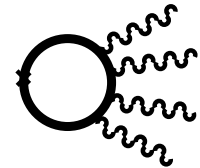
b)



c)



d)



e)

$$\begin{aligned} b) &= d \int d^2\omega [\partial^2 G_0(\omega - \omega_0)]^2 \lambda^{zz}(\omega) = d \int d^2\omega \frac{1}{(\omega - \omega_0)^4} \lambda^{zz}(\omega) \\ &= d \partial^3 \int d^2\omega \frac{1}{(\omega - \omega_0)} \lambda^{zz}(\omega) \equiv d \partial^3 \frac{1}{\bar{\partial}} \lambda^{zz}(\omega_0) \end{aligned}$$

$$\begin{aligned} c) &= d \int d^2\omega_1 d^2\omega_2 \partial^2 G_0(\omega_1 - \omega_0) \partial^2 G_0(\omega_2 - \omega_0) \partial \bar{\partial} G_0(\omega_1 - \omega_2) \\ &\quad \times \lambda^{zz}(\omega_1) \delta \lambda^{z\bar{z}}(\omega_2) = d \partial^3 \frac{1}{\bar{\partial}} \lambda^{zz}(\omega_0) \delta \lambda^{z\bar{z}}(\omega_0) \end{aligned}$$

d), e) etc. do not contribute at one-loop order

$$\begin{aligned} \left\langle \hat{\delta}_\xi T_{zz}^{(NL)} \right\rangle &= \frac{1}{2b^2} \partial^2 \frac{1}{\bar{\partial}} \int d^2z \xi'(z) [\langle \partial \lambda^{zz}(z) \varphi(0) \rangle + 2 \langle \partial \lambda^{zz}(z) \lambda^{z\bar{z}}(0) \rangle] \delta^{(2)}(z) \\ &= \frac{1}{2} \xi'''(0) [H_{1,2}^{(1)} + 2H_{1,2}^{(2)}] = \frac{1}{2} \xi'''(0) \left[ \frac{1}{3} + 2 \times \frac{1}{3} \right] = \frac{1}{2} \xi'''(0) \end{aligned}$$

## 6. Relation to minimal models

# Exact solution for four-derivative action

Solution to two modified DDK equations

$$b^{-2} = \frac{13 - d - 6G + \sqrt{(d - d_+)(d - d_-)}}{12}$$

$$q = 1 + b^2$$

$$d_{\pm} = 13 - 6G \pm 12\sqrt{1 + G}$$

where  $d = 26 - 6/b_0^2$  to comply with the Liouville action.

KPZ barriers are shifted to  $d_{\pm}$  which depend on  $G \in [-1, 0]$ .

For  $G = -1/3$  (the Nambu-Goto string)  $\implies d_- = 15 - 4\sqrt{6} \approx 5.2 > 4$

The string susceptibility equals

$$\gamma_{\text{str}} = (h - 1) \frac{q}{b^2} + 2 = (h - 1) \frac{25 - d - 6G + \sqrt{(d - d_+)(d - d_-)}}{12} + 2$$

It is real for  $d < d_-$  with  $d_- > 1$  increasing from 1 at  $G = 0$  to 19 at  $G = -1$  for  $0 \geq G \geq -1$  required for stability as it follows from the identity (modulo boundary terms)

$$\int e^{-\varphi} [(\partial\bar{\partial}\varphi)^2 - G\partial\varphi\bar{\partial}\varphi\partial\bar{\partial}\varphi] = \int e^{-\varphi} [(1 + G)(\partial\bar{\partial}\varphi)^2 - G\nabla\partial\varphi\bar{\nabla}\bar{\partial}\varphi]$$

# BPZ null-vectors and Kac's spectrum

---

Like in usual **Liouville** theory the operators

$$V_\alpha = e^{\alpha\varphi}, \quad \alpha = \frac{1-n}{2} + \frac{1-m}{2b^2}$$

are the **BPZ null-vectors** for integer  $n$  and  $m$  obeying

$$(L_{-1}^2 + b^2 L_{-2}) e^{-\varphi/2} = 0, \quad (L_{-1}^2 + b^{-2} L_{-2}) e^{-b^{-2}\varphi/2} = 0, \quad \dots$$

Their conformal weights

$$\Delta_\alpha = \alpha + (\alpha - \alpha^2)b^2$$

coincide with **Kac's spectrum**

$$\Delta_{m,n}(c) = \frac{c-1}{24} + \frac{1}{4} \left( (m+n) \sqrt{\frac{1-c}{24}} + (m-n) \sqrt{\frac{25-c}{24}} \right)^2$$

for

$$c = 26 - d + G \frac{[25 - d - 6G + \sqrt{(d-d_+)(d-d_-)}]}{2(1+G)} = 1 + 6(b + b^{-1})^2$$



# Minimal models from four-derivative action

---

To describe **minimal models** we choose like in usual **Liouville** theory

$$c = 25 + 6 \frac{(p-q)^2}{pq} \implies G = \frac{(1 - d - 6 \frac{(p-q)^2}{pq})q}{6(q+p)}$$

with coprime  $q > p$

If  $G = 0$  this would imply

$$d = 1 - 6 \frac{(p-q)^2}{pq}$$

for central charge of **matter** but now  $d$  is a **free** parameter obeying

$$1 - 6 \frac{(p-q)^2}{pq} \leq d \leq 19 - 6 \frac{p}{q} \iff 0 \geq G \geq -1$$

Contrary to the **Liouville** theory now **Kac's**  $c \neq c^{(\varphi)} = 26 - d$

Remarkably,  $G = -1/3$  is associated in  $d = 4$  with  $p = 3$ ,  $q = p+1 = 4$   
**unitary minimal model** like critical **Ising** model on a random lattice

## Minimal models from four-derivative action (cont.)

---

From the above formula for  $b^2$

$$b^{-2} = \begin{cases} \frac{q}{p} & \text{perturbative branch} \\ -1 + \frac{(25-d)p}{6(q+p)} & \text{the other branch} \end{cases} \quad \text{for } d > 25 - 6\frac{(p+q)^2}{p^2}$$

Perturbative branch is as in the usual Liouville theory, but the second branch is no longer  $p \leftrightarrow q$  with it. It is  $b^{-2} = p/q$  for  $d = 1 - 6\frac{(p-q)^2}{pq}$

There are **no obstacles** against  $d = 4$  for  $q = p + 1$  (unitary case)!

$$d_+ = d_- = 19 \quad \text{for} \quad d = d_c = 13 - \frac{6}{p}$$

For  $1 \leq d < d_c$  ( $d_c$  is always  $>10$ ) we have  $d \leq d_-$  and  $\gamma_{\text{str}}$  is **REAL**.

The perturbative branch is as in the usual Liouville theory but the domain of applicability is now **broader** which may have applications of the **four-derivative Liouville action** in Statistical Mechanics á la **Kogan-Mudry-Tsvelik (1996)**

7. Why i one loop?

# Operatorial central charge otherwise

Y.M. (2022)

Generator of conformal transformation

$$\hat{\delta}_\xi \equiv \int_{C_1} \frac{dz}{2\pi i} \xi(z) T_{zz}(z) = \frac{1}{\pi} \int_{D_1} \xi \bar{\partial} T_{zz} \stackrel{\text{w.s.}}{=} \int_{D_1} \left( q \xi' \frac{\delta}{\delta \varphi} + \xi \partial \varphi \frac{\delta}{\delta \varphi} \right)$$

with the commutator (where  $\zeta = \xi \eta' - \xi' \eta$  as it should)

$$\begin{aligned} \langle (\hat{\delta}_\eta \hat{\delta}_\xi - \hat{\delta}_\xi \hat{\delta}_\eta) X \rangle &= \langle \hat{\delta}_\zeta X \rangle + \int_{D_1} d^2 z \int_{D_z} d^2 \omega \\ &\times \left\langle [q \xi'(z) + \xi(z) \partial \varphi(z)] [q \eta'(\omega) + \eta(\omega) \partial \varphi(\omega)] \frac{\delta^2 S}{\delta \varphi(z) \delta \varphi(\omega)} X \right\rangle \\ &= \langle \hat{\delta}_\zeta X \rangle + \frac{1}{24} \oint_{C_1} \frac{dz}{2\pi i} [\xi'''(z) \eta(z) - \xi(z) \eta'''(z)] \langle c X \rangle \end{aligned}$$

DDK is reproduced for quadratic action  $S$

Still usual central charge  $c$  for higher-derivative action with  $G = 0$  but field-dependent for  $G \neq 0$ . Usual Virasoro algebra at one loop with

$$c^{(\varphi)} = \frac{6q^2}{b^2} + 1 + 6G + \mathcal{O}(b_0^2)$$

Where is  $SL(2, R)$  Kac-Moody algebra at higher loops?

# Conclusion

---

- “Massive” CFTs exist and solved by (almost) usual CFT technique except for nonlocality in improved EMT
- Nambu-Goto and Polyakov strings are told apart by higher-derivative terms which revive quantumly like anomalies in QFT
- Emergence of the four-derivative Liouville action alludes to (4,3) minimal model like critical **Ising model** on a random lattice
- Any suggestions for gravity like **Riegert-Fradkin-Tseytlin** action?

# Conclusion

---

- “Massive” CFTs exist and solved by (almost) usual CFT technique except for nonlocality in improved EMT  $\approx 100\%$
- Nambu-Goto and Polyakov strings are told apart by higher-derivative terms which revive quantumly like anomalies in QFT  $\approx 100\%$
- Emergence of the four-derivative Liouville action alludes to (4,3) minimal model like critical **Ising model** on a random lattice  $\approx 75\%$
- Any suggestions for gravity like **Riegert-Fradkin-Tseytlin** action?