

# A cosmological bounce in the theory of gravity with non-minimal derivative coupling

**Sergey Sushkov**



KAZAN FEDERAL UNIVERSITY

**Efim Fradkin Centennial Conference**

Lebedev Physics Institute, Moscow, Russia

*September 5, 2024*

KAZAN FEDERAL UNIVERSITY  
RUSSIAN GRAVITATIONAL SOCIETY

25-29 November 2024  
Kazan, Russia

**Language:** Russian, English  
**Format:** Offline (personal participation); Online participation  
**Website:** <https://rusgrav.kpfu.ru>  
**E-mail:** [rusgrav18@mail.ru](mailto:rusgrav18@mail.ru)

# 18<sup>th</sup> RUSSIAN GRAVITATIONAL CONFERENCE

INTERNATIONAL CONFERENCE ON  
GRAVITATION, ASTROPHYSICS AND COSMOLOGY

## SECTIONS

### A. Classical Gravity

- A1 Exact solutions
- A2 Black holes and wormholes
- A3 Alternative theories of gravity
- A4 Multidimensional theories of gravity
- A5 Philosophic and methodological problems of gravity

### B. Relativistic Astrophysics and Cosmology

- B1 Observational astronomy
- B2 Gravitational lensing and black hole shadows
- B3 Gravitational wave astronomy
- B4 Theoretical models in cosmology
- B5 Astroparticles, dark matter and dark energy
- B6 Ground-based gravity experiments

### C. Quantum Aspects of Gravity

- C1 Quantized fields in curved spacetime and Casimir effect
- C2 Quantum gravity, strings and branes
- C3 Higher spin fields
- C4 Dualities and holographic models

#### ORGANIZING COMMITTEE

Sushkov S.V. (Chairman, Kazan Federal University, Kazan)  
Borotnikov K.A. (Co-chairman, Peoples' Friendship University of Russia, Moscow, Russia)  
Vladimirov Yu.S. (Co-chairman, Moscow State University, Moscow)  
Galtsov D.V. (Co-chairman, Moscow State University, Moscow)  
Mozzharovskaya V.M. (Co-chairman, Pulkovo Observatory, Peter the Great St. Petersburg Polytechnic University, St. Petersburg)

#### ORGANIZING COMMITTEE

Sushkov S.V. (Chairman, Kazan Federal University, Kazan)  
Borotnikov K.A. (Co-chairman, Peoples' Friendship University of Russia, Moscow, Russia)  
Vladimirov Yu.S. (Co-chairman, Moscow State University, Moscow)  
Galtsov D.V. (Co-chairman, Moscow State University, Moscow)  
Mozzharovskaya V.M. (Co-chairman, Pulkovo Observatory, Peter the Great St. Petersburg Polytechnic University, St. Petersburg)

- GR has successfully been exploited for a long time to describe celestial motion in Solar system, a bending of light rays, gravitational waves, the universe expansion ( $\Lambda$ CDM model)
- GR is unable to solve the number already existing problems and appearing new ones
  - cosmological and black hole singularities
  - dark energy (accelerating expansion of the Universe)
  - initial inflation
  - large scale structure of the universe
  - dark matter evidence
  - cosmological constant problem
  - etc. . .
- These amazing discoveries have set new serious challenges before theoretical cosmology faced the necessity of radical *modification* or *extension* of General Relativity

$$S = \int d^4x \sqrt{-g} [F(\phi)R - Z(\phi)g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - 2U(\phi)] + S_m[\psi_m, g_{\mu\nu}]$$

- generalizations of the Brans-Dicke theories
- the scalar field is
  - minimally coupled with ordinary matter (physical or Jordan frame)
  - non-minimally coupled with the scalar curvature by the term  $F(\phi)R$

**Notice:** Non-minimal coupling of the scalar field with the scalar curvature is provided by the terms  $F(\phi)R$

In 1974, *Gregory Walter Horndeski* derived the action of the most general scalar-tensor theories with second-order equations of motion

[G.Horndeski, *Second-Order Scalar-Tensor Field Equations in a Four-Dimensional Space*, IJTP **10**, 363 (1974)]

**Horndeski Lagrangian:**<sup>1</sup>

$$L_H = \sqrt{-g} (\mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5)$$

$$\mathcal{L}_2 = G_2(\phi, X),$$

$$\mathcal{L}_3 = G_3(\phi, X) \square \phi,$$

$$\mathcal{L}_4 = G_4(\phi, X) R - 2G_{4,X}(\phi, X) (\square \phi^2 - \phi^{\mu\nu} \phi_{\mu\nu}),$$

$$\mathcal{L}_5 = G_5(\phi, X) G_{\mu\nu} \phi^{\mu\nu} + \frac{1}{3} G_{5,X}(\phi, X) (\square \phi^3 - 3 \square \phi \phi_{\mu\nu} \phi^{\mu\nu} + 2 \phi_{\mu\nu} \phi^{\mu\sigma} \phi^\nu{}_\sigma),$$

$G_a(\phi, X)$  are four arbitrary functions, and  $X = -\frac{1}{2}(\nabla\phi)^2$

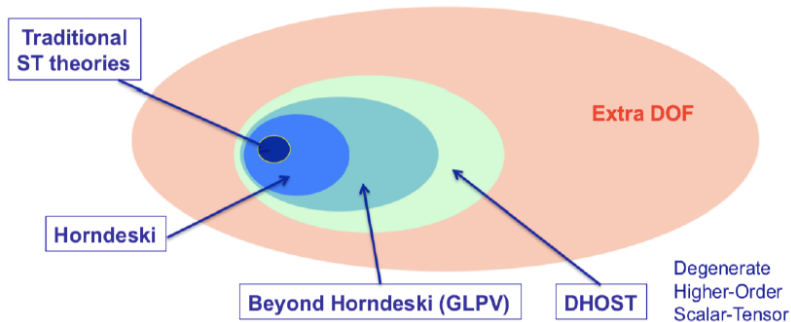
**Notice:** Non-minimal coupling of the scalar field with curvature is provided by two terms,  $G_4(\phi, X)R$  and  $G_5(\phi, X)G^{\mu\nu}\nabla_\mu\phi\nabla_\nu\phi$

<sup>1</sup>T. Kobayashi, M. Yamaguchi, J. Yokoyama, Prog. Theor. Phys. **126**, 511 (2011).

# Subclasses of the Horndeski theory

$$\mathcal{L}_H = \mathcal{L}\{G_2, G_3, G_4, G_5\}$$

- Hilbert-Einstein action (GR):  
 $G_4(\phi, X) = \frac{1}{2}M_{Pl}^2 \rightarrow \mathcal{L}_H \sim \frac{1}{2}M_{Pl}^2 R$
- Nonminimal coupling:  $G_4(\phi, X) = f(\phi) \rightarrow \mathcal{L}_H \sim f(\phi)R$
- GR with a scalar field:  $G_2(\phi, X) = \epsilon X - V(\phi)$
- $k$ -essence:  $G_2 = K(\phi, X)$
- Kinetic gravity braiding (KGB):  
 $G_3 = B(\phi, X) \rightarrow \mathcal{L}_H \sim B(\phi, X)\square\phi$
- Nonminimal kinetic coupling:  
 $G_5(\phi, X) = \eta\phi \rightarrow \mathcal{L}_H \sim \eta G^{\mu\nu}\nabla_\mu\phi\nabla_\nu\phi$
- Fab Four, Gallileons, etc.



## Landscape of scalar-tensor theories

D. Langlois, Dark energy and modified gravity  
in degenerate higher-order scalar-tensor (DHOST) theories: A review  
Int. J. Mod. Phys. D 28 (2019), no. 05 1942006

$$S = \int d^4x \sqrt{-g} \left[ F_{(2)}(\phi, X)R + P(\phi, X) + Q(\phi, X)\square\phi \right. \\ \left. + F_{(3)}(\phi, X)G_{\mu\nu}\phi^{\mu\nu} + \sum_{a=1}^5 A_a(\phi, X)L_a^{(2)} + \sum_{a=1}^{10} B_a(\phi, X)L_a^{(3)} \right]$$

$$L_1^{(2)} = \phi_{\mu\nu}\phi^{\mu\nu}, \quad L_2^{(2)} = (\square\phi)^2, \quad L_3^{(2)} = (\square\phi)\phi^\mu\phi_{\mu\nu}\phi^\nu, \\ L_4^{(2)} = \phi^\mu\phi_{\mu\rho}\phi^{\rho\nu}\phi_\nu, \quad L_5^{(2)} = (\phi^\mu\phi_{\mu\nu}\phi^\nu)^2.$$

$$L_1^{(3)} = (\square\phi)^3, \quad L_2^{(3)} = (\square\phi)\phi_{\mu\nu}\phi^{\mu\nu}, \quad L_3^{(3)} = \phi_{\mu\nu}\phi^{\nu\rho}\phi_\rho^\mu, \\ L_4^{(3)} = (\square\phi)^2\phi_\mu\phi^{\mu\nu}\phi_\nu, \quad L_5^{(3)} = \square\phi\phi_\mu\phi^{\mu\nu}\phi_{\nu\rho}\phi^\rho, \quad L_6^{(3)} = \phi_{\mu\nu}\phi^{\mu\nu}\phi_\rho\phi^{\rho\sigma}\phi_\sigma, \\ L_7^{(3)} = \phi_\mu\phi^{\mu\nu}\phi_{\nu\rho}\phi^{\rho\sigma}\phi_\sigma, \quad L_8^{(3)} = \phi_\mu\phi^{\mu\nu}\phi_{\nu\rho}\phi^\rho\phi_\sigma\phi^{\sigma\lambda}\phi_\lambda, \\ L_9^{(3)} = \square\phi(\phi_\mu\phi^{\mu\nu}\phi_\nu)^2, \quad L_{10}^{(3)} = (\phi_\mu\phi^{\mu\nu}\phi_\nu)^3.$$

**Notice:** Non-minimal coupling of the scalar field with curvature is provided by two terms,  $F_{(2)}(\phi, X)R$  and  $F_{(3)}(\phi, X)G^{\mu\nu}\nabla_\mu\phi\nabla_\nu\phi$



**Notice:** There are only two qualitatively different terms describing non-minimal coupling of the scalar field with curvature:  $M(\phi, X)R$  and  $N(\phi, X)G^{\mu\nu}\nabla_\mu\phi\nabla_\nu\phi$ .

- $M(\phi, X)R$  — Brans-Dicke-like theories
- $N(\phi, X)G^{\mu\nu}\nabla_\mu\phi\nabla_\nu\phi$  — theories with non-minimal derivative coupling

# Theory with nonminimal derivative coupling. I

Focusing on non-minimal derivative coupling, we have

**Action:**  $S = S^{(g)} + S^{(m)}$

$S^{(m)}$  — *the action for ordinary matter fields*

$$S^{(g)} = \frac{1}{2} \int d^4x \sqrt{-g} [M_{\text{Pl}}^2 (R - \Lambda) - (\varepsilon g_{\mu\nu} + \eta G_{\mu\nu}) \nabla^\mu \phi \nabla^\nu \phi - 2V(\phi)]$$

$\Lambda$  — *cosmological constant*

$\varepsilon = 1$  (*ordinary scalar field*);

$\varepsilon = -1$  (*phantom scalar field*);

$\varepsilon = 0$  (*no standard kinetic term*)

$\eta$  — *dimensional coupling parameter*,  $[\eta] = (\text{length})^2 \rightarrow \eta = \pm \ell^2$

$\ell$  — *characteristic scale of non-minimal coupling*

Field equations:

$$G_{\mu\nu} = -g_{\mu\nu}\Lambda + 8\pi \left[ T_{\mu\nu}^{(m)} + T_{\mu\nu}^{(\phi)} + \eta \Theta_{\mu\nu} \right]$$

$$[\varepsilon g^{\mu\nu} + \eta G^{\mu\nu}] \nabla_\mu \nabla_\nu \phi = V'_\phi$$

$$T_{\mu\nu}^{(\phi)} = \varepsilon \left[ \nabla_\mu \phi \nabla_\nu \phi - \frac{1}{2} g_{\mu\nu} (\nabla\phi)^2 \right] - g_{\mu\nu} V(\phi),$$

$$\Theta_{\mu\nu} = -\frac{1}{2} \nabla_\mu \phi \nabla_\nu \phi R + 2 \nabla_\alpha \phi \nabla_{(\mu} \phi R_{\nu)}^\alpha - \frac{1}{2} (\nabla\phi)^2 G_{\mu\nu} + \nabla^\alpha \phi \nabla^\beta \phi R_{\mu\alpha\nu\beta}$$

$$+ \nabla_\mu \nabla^\alpha \phi \nabla_\nu \nabla_\alpha \phi - \nabla_\mu \nabla_\nu \phi \square\phi + g_{\mu\nu} \left[ -\frac{1}{2} \nabla^\alpha \nabla^\beta \phi \nabla_\alpha \nabla_\beta \phi + \frac{1}{2} (\square\phi)^2 - \nabla_\alpha \phi \nabla_\beta \phi R^{\alpha\beta} \right]$$

$$T_{\mu\nu}^{(m)} = (\rho + p) u_\mu u_\nu + p g_{\mu\nu}$$

**Notice:** *The field equations are of second order!*

# Isotropic and homogeneous cosmological models

**Ansatz:**  $V \equiv 0$  (no potential),  $\varepsilon = +1$  (ordinary scalar)

$\phi = \phi(t)$ ,  $T_{\mu\nu}^{(m)} = \text{diag}(\rho(t), p(t), p(t), p(t))$ , and the FLRW metric

$$ds^2 = -dt^2 + a^2(t) \left[ \frac{dr^2}{1 - kr^2} + r^2(d\theta^2 + \sin^2\theta d\varphi^2) \right]$$

$k = 0, \pm 1$ ,  $a(t)$  *cosmological factor*,  $H(t) = \dot{a}(t)/a(t)$  *Hubble parameter*

**Gravitational equations:**

$$3 \left( H^2 + \frac{k}{a^2} \right) = \Lambda + 8\pi\rho + 4\pi\psi^2 \left( 1 - 9\eta \left( H^2 + \frac{k}{3a^2} \right) \right),$$

$$2\dot{H} + 3H^2 + \frac{k}{a^2} = \Lambda - 8\pi p - 4\pi\psi^2 \left[ 1 + 2\eta \left( \dot{H} + \frac{3}{2} H^2 - \frac{k}{a^2} + 2H \frac{\dot{\psi}}{\psi} \right) \right]$$

**The scalar field equations:**

$$a^3\psi \left( 1 - 3\eta \left( H^2 + \frac{k}{a^2} \right) \right) = Q = \text{const}$$

where  $\psi = \dot{\phi}$

# Modified Friedmann equation (Master equation). I

Material content is a mixture of radiation and non-relativistic component:

$$\rho = \rho_m + \rho_r = \rho_{m0} \left(\frac{a_0}{a}\right)^3 + \rho_{r0} \left(\frac{a_0}{a}\right)^4$$

Introducing the dimensionless scales factor  $a$ , Hubble parameter  $h$ , and coupling parameter  $\zeta$ :

$$a = \frac{a}{a_0}, \quad h = \frac{H}{H_0}, \quad \zeta = \eta H_0^2,$$

and the dimensionless density parameters:

$$\Omega_0 = \frac{\Lambda}{3H_0^2}, \quad \Omega_2 = \frac{k}{a_0^2 H_0^2}, \quad \Omega_3 = \frac{\rho_{m0}}{\rho_{cr}}, \quad \Omega_4 = \frac{\rho_{r0}}{\rho_{cr}}, \quad \Omega_6 = \frac{4\pi Q^2}{3a_0^6 H_0^2},$$

where  $\rho_{cr} = 3H_0^2/8\pi$  is the critical density, one has

## Modified Friedmann equation

$$h^2 = \Omega_0 - \frac{\Omega_2}{a^2} + \frac{\Omega_3}{a^3} + \frac{\Omega_4}{a^4} + \frac{\Omega_6 \left(1 - 3\zeta \left(3h^2 + \frac{\Omega_2}{a^2}\right)\right)}{a^6 \left(1 - 3\zeta \left(h^2 + \frac{\Omega_2}{a^2}\right)\right)^2}$$

## Modified Friedmann equation

$$h^2 = \Omega_0 - \frac{\Omega_2}{a^2} + \frac{\Omega_3}{a^3} + \frac{\Omega_4}{a^4} + \frac{\Omega_6 \left(1 - 3\zeta \left(3h^2 + \frac{\Omega_2}{a^2}\right)\right)}{a^6 \left(1 - 3\zeta \left(h^2 + \frac{\Omega_2}{a^2}\right)\right)^2}$$

- Assuming  $\Lambda \geq 0$ , one has  $\Omega_0 \geq 0$
- $\Omega_2 = k/a_0^2 H_0^2$ , hence  
 $\Omega_2 = 0, \Omega_2 < 0, \Omega_2 > 0$  if  $k = 0, -1, +1$ , respectively
- $\zeta = \eta H_0^2 = \pm (\ell/\ell_H)^2$ , where  $\ell_H = 1/H_0$ , hence  
 $\zeta$  is proportional to the square of ratio of two characteristic scales,  
hence  $\zeta \ll 1$  ???
- In case  $\Omega_6 = 0$  (**no scalar with non-minimal derivative coupling**) one has the standard master equation of  $\Lambda$ CDM cosmological model
- In case  $\Omega_6 \neq 0$  but  $\zeta = 0$  (**no non-minimal derivative coupling**) one has a cosmological model with an ordinary scalar field

# Modified Friedmann equation (Master equation). III

Denoting  $y = h^2$  one can rewrite the master equation as a cubic in  $y$  algebraic equation

$$c_3 y^3 + c_2(a) y^2 + c_1(a) y + c_0(a) = 0$$

with the coefficients

$$c_3 = 9\zeta^2$$

$$c_2 = -6\zeta \left( 1 - \frac{3\zeta\Omega_2}{a^2} \right) - 9\zeta^2 \left( \Omega_0 - \frac{\Omega_2}{a^2} + \frac{\Omega_3}{a^3} + \frac{\Omega_4}{a^4} \right),$$

$$c_1 = \left( 1 - \frac{3\zeta\Omega_2}{a^2} \right)^2 + 6\zeta \left( 1 - \frac{3\zeta\Omega_2}{a^2} \right) \left( \Omega_0 - \frac{\Omega_2}{a^2} + \frac{\Omega_3}{a^3} + \frac{\Omega_4}{a^4} \right) + \frac{9\zeta\Omega_6}{a^6},$$

$$c_0 = - \left( 1 - \frac{3\zeta\Omega_2}{a^2} \right)^2 \left( \Omega_0 - \frac{\Omega_2}{a^2} + \frac{\Omega_3}{a^3} + \frac{\Omega_4}{a^4} \right) - \left( 1 - \frac{3\zeta\Omega_2}{a^2} \right) \frac{\Omega_6}{a^6}.$$

**Notice:** Roots  $h = h(a)$  of the cubic polynomial (15) define a global cosmological behavior as follows

$$\int_{a=1}^a \frac{d\tilde{a}}{\tilde{a}h(\tilde{a})} = H_0(t - t_0).$$

# Turning points and bounces in the Universe evolution

A turning point in the Universe evolution may occur at a moment  $t = t_*$ , when the scale factor  $a(t)$  reaches its extremal, either maximal or minimal value,  $a(t_*) = a_*$ . Correspondingly,  $y(a_*) = h^2(a_*) = 0$ .

The polynomial  $P(a, y) = c_3 y^3 + c_2(a) y^2 + c_1(a) y + c_0(a)$  has a root  $y(a_*) = 0$  if and only if  $c_0(a_*) = 0$ , and hence we obtain two separate algebraic conditions for  $a_*$ :

$$\left(1 - \frac{3\zeta\Omega_2}{a_*^2}\right) \left(\Omega_0 - \frac{\Omega_2}{a_*^2} + \frac{\Omega_3}{a_*^3} + \frac{\Omega_4}{a_*^4}\right) + \frac{\Omega_6}{a_*^6} = 0, \quad (1)$$

$$\left(1 - \frac{3\zeta\Omega_2}{a_*^2}\right) = 0. \quad (2)$$

**NOTICE:** The conditions (1) and (2) have NO solutions in case  $\Omega_2 \leq 0$ . Therefore, in cosmological models with negative or zero spatial curvature there are no turning points.



# Turning points and bounces: $\Omega_2 > 0$ (positive spatial curvature)

**Condition 1:** 
$$\left(1 - \frac{3\zeta\Omega_2}{a_*^2}\right) \left(\Omega_0 - \frac{\Omega_2}{a_*^2} + \frac{\Omega_3}{a_*^3} + \frac{\Omega_4}{a_*^4}\right) + \frac{\Omega_6}{a_*^6} = 0$$

In the simplest case:  $\Omega_0 = \Omega_3 = \Omega_4 = 0, \zeta = 0$ , one has

$$a_*^2 = \sqrt{\Omega_6/\Omega_2} = \sqrt{(1 + \Omega_2)/\Omega_2}.$$

Supposing  $\Omega_2 \ll 1$ , we get  $a_*^2 = a_{max}^2 \approx 1/\Omega_2^{1/2} \gg 1$

Therefore, the Universe's expansion is stopped when the scale factor achieves its maximal value  $a_{max}$  and then replaced by contraction.

**This is a turning point!**

Thus, a root (if exists) of the Condition 1 gives a maximal value  $a_* = a_{max}(\Omega_0, \Omega_2, \Omega_3, \Omega_4, \zeta)$  which does generally depend on *all* parameters of the model.

# Turning points and bounces: $\Omega_2 > 0$ (positive spatial curvature)

**Condition 2:** 
$$1 - \frac{3\zeta\Omega_2}{a_*^2} = 0 \quad \rightarrow \quad a_*^2 = 3\zeta\Omega_2$$

Since  $\Omega_2 \ll 1$  and  $\zeta \ll 1$ , we get  $a_*^2 = a_{min}^2 \ll 1$

Therefore, the Universe's contraction is stopped when the scale factor achieves its minimal value  $a_{min} = (3\zeta\Omega_2)^{1/2}$ .

## NOTICE:

- The value  $a_{min} = (3\zeta\Omega_2)^{1/2}$  depends ONLY on the product  $\zeta\Omega_2$ , and does NOT depend on  $\Omega_0, \Omega_3, \Omega_4$ !
- Following [a], we may say that the cosmological constant and material substance are *screened* at the early stage and makes no contribution to the universe evolution.

---

<sup>a</sup>A. A. Starobinsky, S. V. Sushkov, and M. S. Volkov, *The screening Horndeski cosmologies*, *JCAP* **1606** (2016), no. 06 007

# Turning points and bounces: $\Omega_2 > 0$ (positive spatial curvature)

Let us consider an asymptotic behavior near  $a = a_{min} = (3\zeta\Omega_2)^{1/2}$ .

Modified Friedmann equation ( $\Omega_0 = \Omega_3 = \Omega_4 = 0$ ):

$$h^2 = -\frac{\Omega_2}{a^2} + \frac{\Omega_6(1 - 3\zeta(3h^2 + \frac{\Omega_2}{a^2}))}{a^6(1 - 3\zeta(h^2 + \frac{\Omega_2}{a^2}))^2}$$

Asymptotics:

$$h^2 \approx \frac{a^2 - a_{min}^2}{9\zeta a_{min}^2} e^{-(a^2 - a_{min}^2)/a_{min}^2} \approx \frac{a^2 - a_{min}^2}{9\zeta a_{min}^2} \propto \Delta a = a - a_{min}$$

Dependence on time:

$$h^2 \approx \frac{(\Delta t)^2}{(9\zeta)^2}, \quad a^2(t) \approx a_{min}^2 \left(1 + \frac{(\Delta t)^2}{9\zeta}\right), \quad \Delta t = t - t_*$$

Evidently:  $\Delta t = t - t_* \rightarrow 0$ ,  $h^2 \propto (\Delta t)^2 \rightarrow 0$ ,  $a^2 \rightarrow a_{min}^2$

**NOTICE:** The spacetime geometry is regular when approaching to the “bounce”  $a_{min}$ !

# Turning points and bounces: $\Omega_2 > 0$ (positive spatial curvature)

Is the point  $a_*^2 = a_{min}^2 = 3\zeta\Omega_2$  a bounce?

Scalar field equation:

$$\phi' = \frac{Q}{a^3 \left(1 - 3\zeta \left(h^2 + \frac{\Omega_2}{a^2}\right)\right)}$$

Asymptotics:

$$\phi' \approx \frac{3Q}{2a_{min}(a^2 - a_{min}^2)} \approx \frac{27\zeta Q}{2a_{min}^3(\Delta t)^2} \propto \frac{1}{(\Delta t)^2}$$

Evidently:  $\Delta t \rightarrow 0$ ,  $\phi' \propto 1/(\Delta t)^2 \rightarrow \infty$

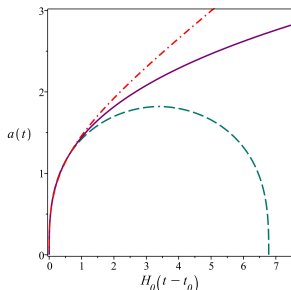
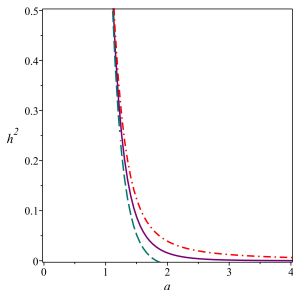
**NOTICE:** One has a singular behavior of the scalar field when approaching to the “bounce”  $a_{min}$ !

# Cosmological scenarios. I.

The case  $\zeta = 0$  and  $\Omega_0 = \Omega_3 = \Omega_4 = 0$

$$h^2 = -\frac{\Omega_2}{a^2} + \frac{\Omega_6}{a^6}$$

- At early times, when  $a \rightarrow 0$ , one has  $h^2 \approx \Omega_6/a^{-6} \rightarrow \infty$ , that is an initial cosmological singularity
- The later evolution essentially depends on the sign of  $\Omega_2$ , i.e. on the spatial curvature of the universe



## The case $\zeta \neq 0$ and $\Omega_3 = \Omega_4 = 0$ (no matter)

Master equation:

$$h^2 = \Omega_0 - \frac{\Omega_2}{a^2} + \frac{\Omega_6(1 - 3\zeta(3h^2 + \frac{\Omega_2}{a^2}))}{a^6(1 - 3\zeta(h^2 + \frac{\Omega_2}{a^2}))^2}$$

**The early time universe evolution** (the limit  $a \rightarrow 0$ )

Asymptotics:

$$h^2 = -\frac{\Omega_2}{3a^2} + \left( \frac{1}{9\zeta} - \frac{8\zeta\Omega_2^3}{27\Omega_6} \right) + O(a^2)$$

- First two major terms in the asymptotic (22) do not contain the cosmological constant  $\Omega_0$ !
- Following [2], we may say that the cosmological constant is *screened* at the early stage and makes no contribution to the universe evolution.

---

<sup>2</sup>A. A. Starobinsky, S. V. Sushkov, and M. S. Volkov, *The screening Horndeski cosmologies*, *JCAP* **1606** (2016), no. 06 007

## Cosmological scenarios. II.

### The case $\zeta \neq 0$ and $\Omega_3 = \Omega_4 = 0$ (no matter)

Zero spatial curvature ( $k = 0$ ,  $\Omega_2 = 0$ ):

$$h^2 = \frac{1}{9\zeta} + O(a^6)$$

- **Therefore** at early cosmological times one has an *eternal* ( $t \rightarrow -\infty$ ) inflation with the quasi-De Sitter behavior of the scale factor:  $a(t) \propto e^{H_\eta t}$ , where  $H_\eta = 1/\sqrt{9\eta}$ .
- **Notice:** that the primary inflationary epoch is only driven by non-minimal derivative or *kinetic* coupling between the scalar field and curvature without introducing any fine-tuned potential, and so one can call this epoch as a *kinetic* inflation.

## Cosmological scenarios. II.

### The case $\zeta \neq 0$ and $\Omega_0 = \Omega_3 = \Omega_4 = 0$

Negative spatial curvature ( $k = -1$ ,  $\Omega_2 < 0$ ):

$$h^2 = \frac{|\Omega_2|}{3a^2} + \left( \frac{1}{9\zeta} + \frac{8\zeta|\Omega_2|^3}{27\Omega_6} \right) + O(a^2).$$

- The Hubble parameter  $h$  has a *singular* behavior at  $a \rightarrow 0$ , so that  $h^2 \approx |\Omega_2|/3a^2 \rightarrow \infty$
- As  $a$  increases, the first term in the asymptotic (??) decreases and becomes negligible with respect to the second one. As the scale factor  $a$  grows further, the behavior of Hubble parameter is determined by the second term in (??), so that  $h^2 \approx h_{dS}^2 = \frac{1}{9\zeta} + \frac{8\zeta|\Omega_2|^3}{27\Omega_6}$  and  $a(t) \propto e^{h_{dS}(H_0 t)}$ . This stage can be called as a *quasi-de Sitter era* with the de Sitter parameter  $h_{dS}$ .



## Cosmological scenarios. II.

### The case $\zeta \neq 0$ and $\Omega_0 = \Omega_3 = \Omega_4 = 0$

Positive spatial curvature ( $k = +1$ ,  $\Omega_2 > 0$ ):

$$h^2 = -\frac{\Omega_2}{3a^2} + \left( \frac{1}{9\zeta} - \frac{8\zeta\Omega_2^3}{27\Omega_6} \right) + O(a^2).$$

- There exists some small minimal value of  $a = a_{min}$ ,

$$a_{min}^2 \approx 3\zeta\Omega_2 \left( 1 - \frac{8\zeta^2\Omega_2^2}{3\Omega_6} \right)^{-1},$$

such that the value of  $h^2$  becomes to be zero!!!

- A moment  $t_B$  when the Hubble parameter  $h$ , or  $\dot{a}$ , equals to zero is a turning point in the universe evolution, or a **bounce**, when the stage of contraction is changing to expansion one.
- The minimal size of the universe can be estimated as follows

$$a_{min} = \sqrt{3}\ell,$$

where  $\ell$  is the characteristic scale of nonminimal derivative coupling.

**Master equation:**

$$h^2 = \Omega_0 - \frac{\Omega_2}{a^2} + \frac{\Omega_6(1 - 3\zeta(3h^2 + \frac{\Omega_2}{a^2}))}{a^6(1 - 3\zeta(h^2 + \frac{\Omega_2}{a^2}))^2}$$

**The late time universe evolution** (the limit  $a \rightarrow \infty$ )

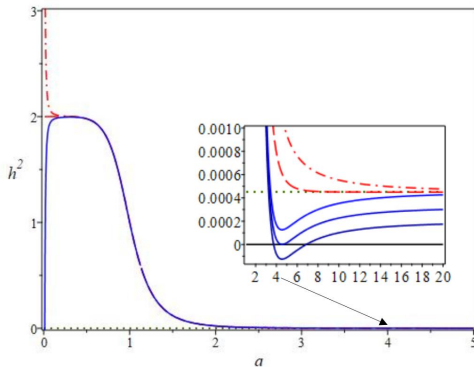
- In the case  $\Omega_2 \leq 0$ , at the late stage of evolution the universe enters a *secondary inflation epoch* with  $h^2 = \Omega_0$ , i.e.  $H = H_\Lambda = \sqrt{\Lambda/3}$ .
- In the case  $\Omega_2 > 0$ , the squared Hubble parameter has an extremal value  $h_{extr}^2$  such that  $d(h^2)/da = 0$ . In case  $h_{extr}^2 > 0$  one has the inflationary asymptotic  $h^2 = \Omega_0$ . In case  $h_{extr}^2 \leq 0$ , there is a turning point in the universe evolution, when the expansion stage is changing to contraction one.
- In the last case one has a *cyclic scenario* of the universe evolution.

# Cosmological scenarios. II.

The case  $\zeta \neq 0$  and  $\Omega_0 = \Omega_3 = \Omega_4 = 0$

Graphical representation:

Plots of  $h^2$  versus  $a$

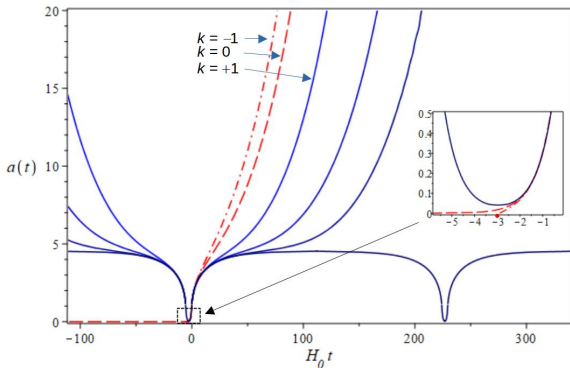


# Cosmological scenarios. II.

The case  $\zeta \neq 0$  and  $\Omega_0 = \Omega_3 = \Omega_4 = 0$

Graphical representation:

Plots of  $a$  versus  $t$

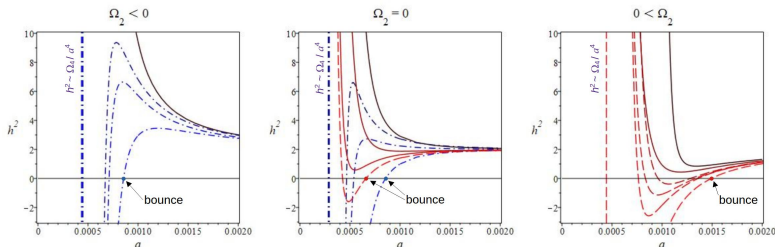


# Cosmological scenarios. III. General case

Master equation:

$$h^2 = \Omega_0 - \frac{\Omega_2}{a^2} + \frac{\Omega_3}{a^3} + \frac{\Omega_4}{a^4} + \frac{\Omega_6(1 - 3\zeta(3h^2 + \frac{\Omega_2}{a^2}))}{a^6(1 - 3\zeta(h^2 + \frac{\Omega_2}{a^2}))^2}$$

Graphical representation:



**Notice:** For *all* types of spatial geometry of the homogeneous universe,  $k = 0, \pm 1$ , there exists a wide domain of parameters  $\Omega_3$  and  $\Omega_4$  such that one has a *bounce* !

**Notice:** Small anisotropy of the universe observed today could be catastrophically large on early stages of the universe evolution. Therefore the results obtained for isotropic cosmological models may not be valid!

## The Bianchi I metric

$$ds^2 = -dt^2 + a_1^2 dx_1^2 + a_2^2 dx_2^2 + a_3^2 dx_3^2,$$

where  $a_i = a_i(t)$  and  $\phi = \phi(t)$

Let us use **the standard parametrization**:

$$a_1 = ae^{\beta_+ + \sqrt{3}\beta_-}, \quad a_2 = ae^{\beta_+ - \sqrt{3}\beta_-}, \quad a_3 = ae^{-2\beta_+}$$

$\sigma^2 = \dot{\beta}_+^2 + \dot{\beta}_-^2$  is the *anisotropy parameter*, and  $H = \dot{a}/a$

**Field equations:**

$$\begin{aligned} 3M_{\text{Pl}}^2(H^2 - \sigma^2) &= \frac{1}{2}(1 - 9\eta(H^2 - \sigma^2))\dot{\phi}^2 + \Lambda, \\ \frac{d}{dt} \left[ a^3 \dot{\beta}_\pm (2M_{\text{Pl}}^2 + \eta\dot{\phi}^2) \right] &= 0, \\ \frac{d}{dt} \left[ a^3 (3\eta(H^2 - \sigma^2) - 1) \dot{\phi} \right] &= 0. \end{aligned}$$

**Anisotropy parameter:**

$$\sigma^2 = \frac{C^2}{a^6(2M_{\text{Pl}}^2 + \eta\dot{\phi}^2)^2}$$

**Asymptotic behavior of anisotropy:**

As expected, at late times anisotropy is *damping* in the usual way

$$a \rightarrow \infty \quad \Longrightarrow \quad \sigma^2 \sim a^{-6} \rightarrow 0$$

Surprisingly, unlike GR, anisotropy is *screened* at early times!

$$a \rightarrow 0, \quad \dot{\phi}^2 \sim a^{-6} \quad \Longrightarrow \quad \sigma^2 \sim a^6 \rightarrow 0$$

**Therefore**, contrary to what one would normally expect, the early state of the Universe in the theory cannot be anisotropic!



## The Bianchi IX metric

$$ds^2 = -dt^2 + \frac{1}{4}a_1^2 \omega_1 \otimes \omega_1 + \frac{1}{4}a_2^2 \omega_2 \otimes \omega_2 + \frac{1}{4}a_3^2 \omega_3 \otimes \omega_3,$$

where  $\omega_a$  are 1-forms,  $d\omega_a = \varepsilon_{abc} \omega_b \wedge \omega_c$

**Parameterization:**  $a_1 = ae^{\beta_+ + \sqrt{3}\beta_-}$ ,  $a_2 = ae^{\beta_+ - \sqrt{3}\beta_-}$ ,  $a_3 = ae^{-2\beta_+}$

$a^3 = a_1 a_2 a_3$  — a volume;

$H = \dot{a}/a$  — an 'average' Hubble parameter

$\beta_{\pm}$  parameterize deviation from isotropy

$\sigma^2 = \dot{\beta}_+^2 + \dot{\beta}_-^2$  — an anisotropy parameter

$\mathcal{H}^2 = H^2 - \sigma^2$  where  $\mathcal{H}$  is an 'anisotropic' Hubble parameter

**The effective spacial curvature  $\mathcal{K}$ :** if  $\beta_{\pm} = 0$ , then  $\mathcal{K} = 1$

$$\mathcal{K} = -\frac{1}{3}e^{-8\beta_+} \left( 4e^{6\beta_+} \cosh^2(\sqrt{3}\beta_-) - 1 \right) \left( 4e^{6\beta_+} \sinh^2(\sqrt{3}\beta_-) - 1 \right)$$

## Field equations:

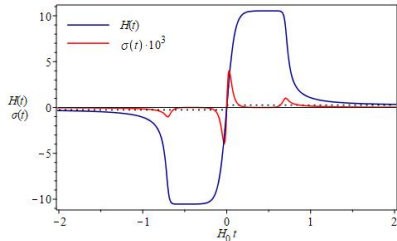
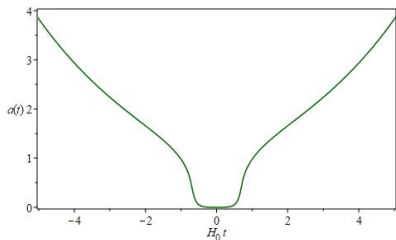
$$3M_{\text{Pl}}^2 \left( \mathcal{H}^2 + \frac{\mathcal{K}}{a^2} \right) = \frac{1}{2}\dot{\phi}^2 + \Lambda - \frac{9}{2}\eta\dot{\phi}^2 \left( \mathcal{H}^2 + \frac{\mathcal{K}}{3a^2} \right), \quad (3)$$

$$\begin{aligned} \frac{1}{a^2} \frac{d}{dt} \left[ (2M_{\text{Pl}}^2 + \eta\dot{\phi}^2) a \dot{a} \right] &= (2M_{\text{Pl}}^2 + \eta\dot{\phi}^2) \left( \frac{1}{2}\mathcal{H}^2 - \sigma^2 \right) \\ &\quad - (M_{\text{Pl}}^2 - \frac{1}{2}\eta\dot{\phi}^2) \frac{\mathcal{K}}{a^2} - \frac{1}{2}\dot{\phi}^2 + \Lambda, \end{aligned} \quad (4)$$

$$\frac{d}{dt} \left[ a^3 \dot{\beta}_{\pm} (2M_{\text{Pl}}^2 + \eta\dot{\phi}^2) \right] = a (M_{\text{Pl}}^2 - \frac{1}{2}\eta\dot{\phi}^2) \frac{\partial \mathcal{K}}{\partial \beta_{\pm}}, \quad (5)$$

$$\frac{d}{dt} \left[ a^3 \dot{\phi} \left( 1 - 3\eta \left( \mathcal{H}^2 + \frac{\mathcal{K}}{a^2} \right) \right) \right] = 0. \quad (6)$$

## Numerical solution:



**Notice:** Contrary to the Belinskii-Khalatnikov-Lifshits mechanism of oscillatory approaching to the singularity, the anisotropy tends to zero at the moment of the bounce!

- The cosmological constant  $\Lambda$  (or  $\Omega_0$ ) turns out to be *screened* at early times and makes no contribution to the universe evolution
- Depending on model parameters, there are three qualitatively different initial state of the universe: an *eternal kinetic inflation*, an *initial singularity*, and a *bounce*. The bounce is possible for *all* types of spatial geometry of the homogeneous universe.
- For *all* types of spatial geometry, we found that the universe goes inevitably through the *primary quasi-de Sitter* (inflationary) epoch with the de Sitter parameter  $h_{dS}^2 = \frac{1}{9\zeta} - \frac{8\zeta\Omega_2^3}{27\Omega_6}$ .
- For  $k = 0$  this epoch lasts eternally to the past, when  $t \rightarrow -\infty$ . When  $k = -1$  or  $+1$ , the primary inflationary epoch starts soon after a birth of the universe from an initial singularity, or after a bounce, respectively.
- The mechanism of primary or *kinetic* inflation is provided by non-minimal derivative coupling and needs *NO* fine-tuned potential.

- In the course of cosmological evolution the domination of  $\eta$ -terms is canceled, and this leads to a *change* of cosmological epochs.
- The late-time universe evolution depends both on  $k$  and  $\Lambda$ . In the case  $k = 0$  (zero spatial curvature), or  $k = -1$  (negative spatial curvature), at late times the universe enters an epoch of *accelerated expansion* or a secondary inflationary epoch with  $H = H_\Lambda = \sqrt{\Lambda/3}$ . In case  $k = +1$  (positive spatial curvature), there is a *turning point* in the universe evolution, when the expansion stage is changing to contraction one.
- Depending on model parameters, there are *cyclic scenarios* of the universe evolution with the *non-singular bounce* at a minimal value of the scale factor, and a turning point at the maximal one.
- Contrary to what one would normally expect, anisotropy is *dumped* at early stages of the universe evolution!

**THANKS FOR YOUR ATTENTION!**